

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Stephen R. Hanna, et al.  
 Filed : HERewith  
 For : TRUST RATINGS IN GROUP CREDENTIALS  
 Attorney's Docket : P4207

JC985 U.S. PTO

09/825100



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on \_\_\_\_\_.

By: \_\_\_\_\_  
 Victor B. Lebovici  
 Registration No. 30,864  
 Attorney for Applicants

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

It is desired to cite for the record in this application the enclosed references listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

- [X] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached Information Disclosure Statement is being filed within three months of the filing date of the above identified national application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 of the above identified application. Accordingly, applicant(s) believe that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [ ] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believe that no fee or statement under 37 C.F.R. § 1.97(e) is required.

Application No.  
Filed: HEREWITH  
Group Art Unit:

- [ ] (3) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
- [ ] a statement under 37 CFR §1.97(e); or
- [ ] the fee set forth in §1.17(p).

PETITION UNDER 37 CFR §1.97(d)

- [ ] (4) Pursuant to 37 CFR §1.97(d), applicant(s) hereby petition the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(i).

STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)

- [ ] (5) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e) (2)

- [ ] (6) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

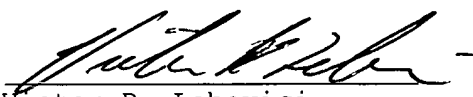
Application No.  
Filed: HERewith  
Group Art Unit:

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

STEPHEN R. HANNA, ET AL.

By:   
Victor B. Lebovici  
Registration No. 30,864  
Attorney for Applicants


WEINGARTEN, SCHURGIN,  
GAGNEBIN & HAYES LLP  
Ten Post Office Square  
Boston, Massachusetts 02109

Telephone: (617) 542-2290  
Telecopier: (617) 451-0313

Date: 4/3/01

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| <b>Form PTO-1449</b><br><b>(REV. 8-83)</b><br><b>U.S. DEPARTMENT OF COMMERCE</b><br><b>PATENT AND TRADEMARK OFFICE</b><br><br><b>INFORMATION DISCLOSURE CITATION</b><br><br><i>(Use several sheets if necessary)</i> |  |  |  | <b>ATTY. DOCKET NO.</b><br>P4207              |  | <b>APPLICATION NO.</b><br><div style="text-align: center;">  <p>09/825100<br/>04/03/01</p> </div> |  |
|  |  |  |  | <b>APPLICANT:</b><br>Stephen R. Hanna, et al. |  |  |  |
|  |  |  |  | <b>FILING DATE</b><br>HEREWITH                |  | <b>GROUP</b>   |  |

| U.S. PATENT DOCUMENTS |  |                 |      |      |       |          |                             |
|-----------------------|--|-----------------|------|------|-------|----------|-----------------------------|
| EXAMINER INITIAL      |  | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE* |
|                       |  |                 |      |      |       |          |                             |
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| FOREIGN PATENT DOCUMENTS |  |                 |      |         |       |          |                            |
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|                          |  |                 |      |         |       |          |                            |

| OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.) |   |
|--|---|
|  | <i>Treasury Board of Canada, Digital Signature and Confidentiality Certificate Policies for the Government of Canada Public Key Infrastructure, version 3.02, April, 1999</i>               |
|  | <i>ITU-T, Telecommunication Standardization Sector of ITU, Information Technology in Open Systems Interconnection in the Directory: Authentication Framework, X.509, (06/97), ITU, 1995</i> |
|  | <i>Draft Revised ITU-T Recommendation X.509/ISO/IEC 9594-8; Information Technology - Open Systems Interconnection - The Direction: Public-Key And Attribute Certificate Frameworks</i>      |
|  | <i>TLS Working Group, Internet-Draft, TLS Extensions for Attribute Certificate Based Authorization, Stephen Farrell, February 28, 1996</i>  |
|  | <i>Transport Layer Security Working Group, Internet-Draft, An Internet Attribute Certificate Profile for Authorization, Stephen Farrell, August 20, 1998</i>                                |
|  | <i>PKIX Working Group, Internet-Draft, An Internet Attribute Certificate Profile for Authorization, Stephen Farrell, Baltimore Technologies, R. Housley, Spyros, August 8, 2000</i>         |

|                 |                        |
|-----------------|------------------------|
| <b>EXAMINER</b> | <b>DATE CONSIDERED</b> |
|-----------------|------------------------|

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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